



Privacy Statement

FACTS WHAT DOES CARY STREET PARTNERS DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product(s) or service(s) you have with us. This information can include:</p> <ul style="list-style-type: none"> • Your account opening documentation, applications or other forms, which include name, address, phone number, social security number and date of birth. • Your potential or actual transactions with our affiliates, others or us. • Information, such as credit history or employment status, from non-affiliated third parties. • Information for special services offered by a third party, such as bill payment requests. • For Investment Banking engagements, information we receive from you and your directors, officers, employees and agents about your business including its finances, technology, processes and customers.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Cary Street Partners chooses to share and whether you can limit this sharing.

Reasons we can share your personal information	Does Cary Street Partners share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your account(s), respond to court orders, audits, regulatory examinations, and legal investigations, or report to credit bureaus	yes	no
For our marketing purposes - to offer our products and services to you	yes	no
For joint marketing with other financial companies	no	we don't share
For our affiliates' everyday business purposes - information about your transactions and experiences	yes	no
For our affiliates' everyday business purposes - information about your credit worthiness	yes	yes
For our affiliates to market to you	yes	yes
For nonaffiliates to market to you	yes, in limited circumstances*	see note*

To limit our sharing	<ul style="list-style-type: none"> • Call 804-228-4680 • Visit us online: carystreetpartners.com or • Mail page 2 of this form <p>Please note: If you are a new customer we can begin sharing your information for non-business purposes 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
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Questions?	Call 804-228-4680 or go to carystreetpartners.com
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*Should your Financial Advisor resign from the firm under the "Broker Protocol," we will share your name, address, phone number, account title and email address with the new firm. Otherwise we do not share data with nonaffiliates.

Mail-in Form

Leave Blank OR if you have a joint account, your choice(s) will apply to everyone on your account unless you mark below:

apply my choices only to me

Mark any/all updates you want to limit:

Do not share information about my credit worthiness with your affiliates for their everyday business purposes.

Do not allow your affiliates to use my personal information to market to me.

Name		Mail to: Cary Street Partners Compliance - Privacy 1210 East Cary Street Ste 300 Richmond, VA 23219
Address		
City, State, Zip		
Account #		

Who we are

Who is providing this notice?	Cary Street Partners - Cary Street Partners is the trade name used by two separate limited liability companies, Cary Street Partners Investment Advisory LLC, a registered investment advisor; and Cary Street Partners LLC, a registered broker-dealer and a member of FINRA and SIPC.
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What we do

How does Cary Street Partners protect my personal information?	<ul style="list-style-type: none">• We train our employees to protect customer information.• We require independent contractors and outside companies who work with us to adhere to strict privacy standards through their contracts with us.• We continually enhance our security tools and processes.• We take steps to protect customer data and accounts by asking you for information that only you should know when you contact us.
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How does Cary Street Partners collect my personal information?	Cary Street Partners may collect non-public personal information about you from the following sources: <ul style="list-style-type: none">• Your account opening documentation, applications or other forms.• Your potential or actual transactions with our affiliates, others or us.• Information, such as credit history or employment status, from non-affiliated third parties.• Information for special services offered by a third party, such as bill payment requests.• For Investment Banking engagements, information we receive from you and your directors, officers, employees and agents about your business including its finances, technology, processes and customers.
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Why can't I limit all sharing?	Federal law gives you the right to limit only: <ul style="list-style-type: none">• Sharing for affiliates' everyday business purposes – information about your creditworthiness• Affiliates from using your information to market to you• Sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights.
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What happens when I limit sharing for an account I hold jointly with someone else?	Your choice will apply to everyone on your account unless you tell us otherwise.
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Definitions

Affiliates	Any other entity with common ownership and/or control.
Nonaffiliates	An entity that has no common ownership and/or control with Cary Street Partners.
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.